

EXHIBIT 4

KYC Profile

Customer Details

Customer Legal Name

HBK ASSOCIATES INC

ECI

0220795242

AML Customer Type

Non-Operating/Asset Holding

KYC Profile

Customer Information


Customer Name: HBRK ASSOCIATES INC

Customer Details

Full Legal Business Name

HBRK ASSOCIATES INC

DBA Name(s)**ECID**

0220795242 

Type of ID

TIN

ID Number

XXXXX3838

Detailed Business Description and North American Industry Classification (NAICS)

NAICS Code and Description

Personal Investment Companies - 525997

Provide a detailed description of the business

Business Structure

Document the legal entity type

Corporation

Ownership Type

Privately Held

Contact Information

Physical address of principal place of business or local office

575 LEXINGTON AVE FL 4
NEW YORK, New York 100226146
United States/US territories

Other Details

AML Customer Type

Non-Operating/Asset Holding

AML Customer Subtype(s)**AML Sub LOB Owner****AML Product Type**

KYC Profile

List Type	Evidence	Document Name	Relationship List - Enterprise Wide.BDF
Description of True Match DESCRIPTION OF TRUE MATCH: Additional AML comments : Customer is a match from Terminated Relationship Screening. AML previously closed customer relationship. Please refer to AML Account Closing Case LOB_ESC_NA_130677424. Open accounts under ECI 0348712448. RELATED PARTY ECI: 0396523581 LOB CLOSURE CASE: CCB Consumer DATE OF CLOSURE: 10/07/2019 REGION & COUNTRY: N/A USA RELATIONSHIP MANAGER (if available): N/A OUTCOME AND RATIONALE: CCB is EXITING and adding/affirming the TRMRL record for RICHARD D KAHN (ECI: 0348712448 & 0441927296), RDK PROPERTIES LLC (ECI 0396523581), as approved by Jennifer Haycook/I615125 this escalation was a direct match/had direct account ownership to a previously closed entity that was terminated by the firm. Based on the aforementioned information, this escalation will be submitted through the closure process due to the previously identified AML/KYC risks with closure reason of unresolved operational risk and chase affiliate has closed your account. RICHARD D KAHN (ECI: 0348712448 & 0441927296) is a true match via ECI and is the sole owner of RDK PROPERTIES LLC (ECI 0396523581). Customer was previously exited for RICHARD KAHN was a primary actor in the movement of EPSTEINs funds, employed as his longtime lawyer/accountant. KAHN is president of EPSTEINs charity, controlled the movement of EPSTEINs funds that potentially assisted in facilitating the sex trafficking ring, and is one of two executors of EPSTEINs will. Based on the aforementioned, we affirm the previous decision to exit the customer. Does this matter need to be escalated? Yes To whom was this escalated? Title Sr Business Management Indicate the outcome of the escalation and provide a rationale DESCRIPTION OF TRUE MATCH: Additional AML comments : Customer is a match from Terminated Relationship Screening.			

KYC Profile

List Type	Evidence	Document Name
<p>AML previously closed customer relationship. Please refer to AML Account Closing Case LOB_ESC_NA_130677424. Open accounts under ECI 0348712448.</p> <p>RELATED PARTY ECI: 0396523581</p> <p>LOB CLOSURE CASE: CCB Consumer</p> <p>DATE OF CLOSURE: 10/07/2019</p> <p>REGION & COUNTRY: N/A USA</p> <p>RELATIONSHIP MANAGER (if available): N/A</p> <p>OUTCOME AND RATIONALE:</p> <p>CCB is EXITING and adding/affirming the TRMRL record for RICHARD D KAHN (ECI: 0348712448 & 0441927296), RDK PROPERTIES LLC (ECI 0396523581), as approved by Jennifer Haycook/1615125 this escalation was a direct match/had direct account ownership to a previously closed entity that was terminated by the firm. Based on the aforementioned information, this escalation will be submitted through the closure process due to the previously identified AML/KYC risks with closure reason of unresolved operational risk and chase affiliate has closed your account.</p> <p>RICHARD D KAHN (ECI: 0348712448 & 0441927296) is a true match via ECI and is the sole owner of RDK PROPERTIES LLC (ECI 0396523581). Customer was previously exited for RICHARD KAHN was a primary actor in the movement of EPSTEINs funds, employed as his longtime lawyer/accountant. KAHN is president of EPSTEINs charity, controlled the movement of EPSTEINs funds that potentially assisted in facilitating the sex trafficking ring, and is one of two executors of EPSTEINs will. Based on the aforementioned, we affirm the previous decision to exit the customer.</p>		
Internal and Other [REDACTED]	Internal and Other [REDACTED]	RICHARD D KAHN [REDACTED]
<p>Description of True Match</p> <p>DESCRIPTION OF TRUE MATCH:</p> <p>Additional AML comments : Customer is a match from Terminated Relationship Screening. AML previously closed customer relationship. Please refer to AML Account Closing Case LOB_ESC_NA_126389423 Open accounts under ECI: 0348712448 Related ECI: N/A LOB CLOSURE CASE: CCB DATE OF CLOSURE: 08/26/2019 REGION</p> <p>Does this matter need to be escalated?</p> <p>Yes</p> <p>To whom was this escalated?</p> <p>Title</p> <p>Sr Business Management</p>		Terminated Relationship List - Enterprise Wide.BDF